SEC Form 4

FORM 4

Check this box if no longer subject to

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OMB APPROVAL

OMB Number:	3235-0287									
Estimated average burden										
hours per response:	0.5									

Section	16. Form 4 or ons may contin		U	File	ed pursua	ant to Sec ection 30(tion 16(a	a) of the Investm	Securi ent Co	itie	es Exchang	ge Ao	ct of 193	34			nated av s per res	verage burden sponse:	0.5
1. Name and Address of Reporting Person* Cupero Susan Jean					2. Issuer Name and Ticker or Trading Symbol <u>SMITH & WESSON BRANDS, INC.</u> [SWBI]									ck all applica Director Officer (able)	ıg Perso	on(s) to Issu 10% Ow Other (s	ner	
(Last) (First) (Middle) 2100 ROOSEVELT AVENUE					3. Date of Earliest Transaction (Month/Day/Year) 05/03/2021								below) below) See Remarks						
(Street) SPRINGFIELD MA 01104 (City) (State) (Zip)						4. If Amendment, Date of Original Filed (Month/Day/Year)							Line)	ndividual or Joint/Group Filing (Check Applicable e) X Form filed by One Reporting Person Form filed by More than One Reporting Person					
		Tab	le I - Nor	n-Deriv	ative	Securit	es Ac	quired	l, Dis	sp	osed of	f, o	r Ben	eficially	/ Owned				
1. Title of Security (Instr. 3) 2. Transz Date (Month/L						Execut) if any	2A. Deemed Execution Date, if any (Month/Day/Year)		3. Transaction Code (Instr. 8)						5. Amour Securities Beneficia Owned F	s Ily ollowing	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		7. Nature of Indirect Beneficial Ownership
									e V		Amount		(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)				(Instr. 4)
Common Stock 05/0				05/03	3/2021			Α			5,892 ⁽¹⁾ A		\$0.00	39,202			D		
	Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)																		
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security		3A. Deeme Execution if any (Month/Da	Date,	Transaction of E			6. Date Exercisable and Expiration Date (Month/Day/Year)				of : Un De	Securitie	Security d 4)	8. Price of Derivative Security (Instr. 5)	9. Number derivative Securities Beneficiall Owned Following Reported Transactio (Instr. 4)	re es ally g d tion(s)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
											Amount or Number								

Explanation of Responses:

1. One-quarter of the restricted stock units shall vest on each of the first, second, third, and fourth anniversaries of the date of grant. Shares, net of tax withholding, will be delivered on each applicable vesting date. 2. Each performance right represents a contingent right to receive one share of the Issuer's common stock. The performance rights vest based on stock performance over a three-year performance period, and shares, net of tax withholding, will be delivered on June 30, 2024. The number represents the maximum number of shares that may be delivered pursuant to the award, which is two times the target number of shares.

Date Exercisable

(2)

(D)

(A)

17,674

Expiration Date

05/03/2024

Title

Commor

Stock

Remarks:

Performance

Rights

The reporting person is Vice President, Sales.

(2)

/s/ Robert J. Cicero, as attorney-05/04/2021 in-fact

** Signature of Reporting Person Date

of Shares

17,674

\$0.00

17,674

D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

05/03/2021

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Code v

Α

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.