| SEC Form 4 |
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Instruction 1(b).

## FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OMB APPROVAL

| OMB Number: 3235-0287    |       |  |  |  |  |  |  |  |  |
|--------------------------|-------|--|--|--|--|--|--|--|--|
| Estimated average burden |       |  |  |  |  |  |  |  |  |
| hours per response:      | . 0.5 |  |  |  |  |  |  |  |  |

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| 1. Name and Address of Reporting Person*   SCOTT ROBERT L                        |   |       | 2. Issuer Name <b>and</b> Ticker or Trading Symbol<br><u>SMITH &amp; WESSON BRANDS, INC.</u> [<br>SWBI ] |   | ationship of Reporting Pe<br>< all applicable)<br>Director<br>Officer (give title | erson(s) to Issuer<br>10% Owner<br>Other (specify |  |  |  |  |
|--|---|-------|--|---|---|---|--|--|--|--|
| (Last)<br>2100 ROOSI   | st) (First) (Middle)<br>00 ROOSEVELT AVENUE |       | 3. Date of Earliest Transaction (Month/Day/Year)<br>09/27/2021   | 1   | below)  | below)  |  |  |  |  |
| (Street)   |   |       | 4. If Amendment, Date of Original Filed (Month/Day/Year)   | 6. Individual or Joint/Group Filing (Check Applicable Line) |   |   |  |  |  |  |
| SPRINGFIE  | SPRINGFIELD MA 01104                        |       |  | X   | X Form filed by One Reporting Person  |   |  |  |  |  |
| (City)   | (State)                                     | (Zip) |  |   | Form filed by More that Person  | an One Reporting                                  |  |  |  |  |
| Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned |   |       |  |   |   |   |  |  |  |  |

| 1. Title of Security (Instr. 3) | 2. Transaction<br>Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year) | 3.<br>Transa<br>Code (<br>8) |   | Disposed Of (D) (Instr. 3, 4 and |               |        | 5. Amount of<br>Securities<br>Beneficially<br>Owned Following<br>Reported | Form: Direct<br>(D) or Indirect | 7. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|---------------------------------|--|---|------------------------------|---|----------------------------------|---------------|--------|---|---------------------------------|---|
|                                 |  |   | Code                         | v | Amount                           | (A) or<br>(D) | Price  | Transaction(s)<br>(Instr. 3 and 4)  |                                 | (1150. 4)   |
| Common Stock                    | 09/27/2021                                 |   | A <sup>(1)</sup>             |   | 4,570 <sup>(1)</sup>             | Α             | \$0.00 | 91,502  | D                               |   |

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

|   | (   |  |   |                              |   |   |     |                     |                    |   |  |  |  |  |  |
|---|---|--|---|------------------------------|---|---|-----|---------------------|--------------------|---|--|--|--|--|--|
| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction<br>Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year) | 4.<br>Transa<br>Code (<br>8) |   | 5. Number<br>of<br>Derivative<br>Securities<br>Acquired<br>(A) or<br>Disposed<br>of (D)<br>(Instr. 3, 4<br>and 5) |     |                     |                    | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) | 9. Number of<br>derivative<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 4) | 10.<br>Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I) (Instr. 4) | 11. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |  |  |
|   |   |  |   | Code                         | v | (A)   | (D) | Date<br>Exercisable | Expiration<br>Date | Title   | Amount<br>or<br>Number<br>of<br>Shares   |  |  |  |  |

Explanation of Responses:

1. 1/12th of the restricted stock units shall vest on the 27th day of each month following the date of grant. 100% of the shares underlying the vested restricted stock units shall be delivered on the one year anniversary of the date of grant.

## Remarks:

<u>/s/ Deana L. McPherson, as</u> <u>attorney-in-fact</u>

09/29/2021

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.