SEC Form 4

Employee Stock

Option

(Right to Buy)

Remarks:

\$2.65

Explanation of Responses:

09/26/2011

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION																		
				Washington, D.C. 20549												OMB APPROVAL		
Section obligat	this box if no lo n 16. Form 4 or ions may conti tion 1(b).		STATEMENT OF CHANGES IN BENEFICIAL OWNERSH Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934									IP	Estim	OMB Number: 3235-0287 Estimated average burden hours per response: 0.5				
						ction 30(h)				. ,	of 194	40						
1. Name and Address of Reporting Person* <u>Debney P. James</u>						2. Issuer Name and Ticker or Trading Symbol <u>SMITH & WESSON HOLDING CORP</u> [SWHC]									Reporting ble)	eporting Person(s) to Issuer e) 10% Owner		
(1.001)	/1	=irst)	(Ndiada)		X								Officer (give title Other (spe below) below)			specify		
(Last) 2100 RC	OSEVELT	(Middle)		3. Date of Earliest Transaction (Month/Day/Year) 09/26/2011									, ,	Presider	nt and (,		
(Street) SPRING	FIELD N	01104		4. If An 09/28/	Original Filed (Month/Day/Year)			Line)	6. Individual or Joint/Group Filing (Check / Line) X Form filed by One Reporting Per Form filed by More than One Re				ı					
(City) (State) (Zip)																	ang r oroon	
	Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned																	
				2. Transad Date (Month/Da		2A. Deemed Execution Date, if any (Month/Day/Year)		Transaction Dispose Code (Instr.		4. Securit Disposed	rities Acquired (A) or ed Of (D) (Instr. 3, 4 an			5. Amount of Securities Beneficially Owned Followi Reported		6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		7. Nature of Indirect Beneficial Ownership
									v	Amount		(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)				(Instr. 4)
	Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)																	
1. Title of Derivative 2. 3. Transaction 3A. Deemed 4 Security or Exercise (Month/Day/Year) if any 4			Code	5. Number of Derivative Securities Acquired (A) o Disposed of (D) (Instr. 3, 4 and 5)		A) or	6. Date Exercisable and Expiration Date (Month/Day/Year)			7. Title and Amou Securities Underl Derivative Securi (Instr. 3 and 4)		derlying curity	8. Price of Derivative Security (Instr. 5)	9. Numb derivati Securiti Benefic Owned Followin Reporte	ve es ially ng d	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Beneficial Ownership (Instr. 4)	
		Code V (A) (D) Date Expiration Date Title		N	nount or umber of nares	unt or (I ber of		Transaction(s) (Instr. 4)										

(2)

1. As previously reported on a Form 4 filed by the reporting person on September 28, 2011, the reporting person reported acquiring options to purchase 450,000 shares of common stock pursuant to the Issuer's Amended and Restated 2004 Incentive Stock Plan. However, the Issuer subsequently determined that options to purchase 200,000 shares of common stock were not validly granted because the grant inadvertently exceeded the limit on the number of options that may be granted to any individual participant in any single fiscal year. Accordingly, the excess options were never granted to the reporting person. The reporting person is filing this amendment to report the correct number of options acquired.

> Robert J. Cicero, as attorney-in-04/11/2013 fact

250,000(1)

\$0.00

09/26/2021

Common

Stock

** Signature of Reporting Person Date

250,000⁽¹⁾

D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

250,000⁽¹⁾

A⁽¹⁾

2. One-third of the total number of options granted shall vest and become exercisable on each of the first, second, and third anniversary of the date of grant.